**AAAI24 TH16: Probabilistic Concept Formation with Cobweb** 

# **New and Future Extensions to Cobweb**

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## **Exciting and Disruptive Times!**

#### Sparks of Artificial General Intelligence: Early experiments with GPT-4

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#### Abstract

Artificial intelligence (AI) researchers have been developing and refining large language models (LLMs) that exhibit remarkable capabilities across a variety of domains and tasks, challenging our understanding of learning and cognition. The latest model developed by OpenAI, GPT-4 Ope23, was trained using an unprecedented scale of compute and data. In this paper, we report on our investigation of an early version of GPT-4, when it was still in active development by OpenAI. We contend that (this early version of) GPT-4 is part of a new cohort of LLMs (along with ChatGPT and Google's PaLM for example) that exhibit more general intelligence than previous AI models. We discuss the rising capabilities and implications of these models. We demonstrate that, beyond its mastery of language, GPT-4 can solve novel and difficult tasks that span mathematics, coding, vision, medicine, law, psychology and more, without needing any special prompting. Moreover, in all of these tasks, GPT-4's performance is strikingly close to human-level performance, and often vastly surpasses prior models such as ChatGPT. Given the breadth and depth of GPT-4's capabilities, we believe that it could reasonably be viewed as an early (yet still incomplete) version of an artificial general intelligence (AGI) system. In our exploration of GPT-4, we put special emphasis on discovering its limitations, and we discuss the challenges ahead for advancing towards deeper and more comprehensive versions of AGI, including the possible need for pursuing a new paradigm that moves beyond next-word prediction. We conclude with reflections on societal influences of the recent technological leap and future research directions.



Source: <u>https://ai.googleblog.com/2022/04/pathways-</u> language-model-palm-scaling-to.html

### Some Human-like Performance ....but not Human-Like Learning

#### Substantial Data and Compute Requirements

- Require internet scale data
- Cost millions of dollars to train (>\$10M for large models)
- Large power / carbon footprints for both training and inference (training > hundreds of households annual power)

Metrics from Stanford AI Index Report 2023

If you are interested in Human-Like Learning, then consider checking out the upcoming AAAI Spring Symposium on the topic at <u>https://humanlikelearning.com</u>

#### Difficult to Adapt and Update with New Data

- Primarily support offline batch training (rather than incremental, continual learning)
- Exhibit catastrophic forgetting of old knowledge when trained on new data

### **Examples of Opportunties for Human-Like Learning**

**Missing Knowledge** 

Model: GPT-4

CM Can you give me advice about how to play the game Space Transit, created by a research group at UCSD?

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I'm sorry, but as my knowledge is limited to updates until September 2021, I am not familiar with the game "Space Transit" created by a research group at UCSD. If this game was developed after September 2021, I won't be able to provide specific advice about it. However, if you have any questions about general gaming strategies, game design, or any other related topics, I'd be more than happy to help.

#### Incorrect Knowledge

Model: GPT-4

- Please give me a bio about Christopher J. MacLellan, who is an assistant professor at Georgia Tech's School of Interactive Computing.
- Christopher J. MacLellan is an accomplished Assistant Professor in the School of Interactive Computing at the Georgia Institute of Technology (Georgia Tech). With a strong background in artificial intelligence, machine learning, and human-computer interaction, his research focuses on developing intelligent systems that can augment human learning and creativity by leveraging collaboration between humans and Al.

Dr. MacLellan earned his Bachelor's degree in Computer Science from the University of California, Santa Cruz, before pursuing his Master's and Ph.D. in Human-Computer Interaction from Carnegie Mellon University. During his time at Carnegie Mellon, Christopher contributed significantly to the field of AI and learning sciences, receiving numerous accolades for his innovative research.

As an assistant professor at Georgia Tech, Dr. MacLellan is dedicated to advancing the field of interactive computing and exploring novel ways to empower individuals through the use of Al. His interdisciplinary approach has led to notable collaborations, including the development of intelligent tutoring systems, tools for enhancing the creative process, and techniques for improving the usability of Al systems.



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## **Future Directions for Cobweb Research**

#### Performance

- Explore new learning and performance mechanisms
- Translate ideas about input processing from deep learning to Cobweb (convolutions, attention, etc.)
- Increase scale of training data

#### **Efficiency / Adaptability**

- Improve asymptotic runtime of core approach
- Investigate ability to support continual, incremental learning without forgetting
- Explore data and power efficiency relative to deep learning techniques

# Changes to Core Cobweb Mechanisms

### Information Theory Variant of Category Utility Expected information gained, rather than expected correct guesses

Probability Theoretic Category Utility

$$CU(C_k) = P(C_k) \sum_{i} \sum_{j} \sum_{j} \left[ P(A_i = v_{ij} | C_k)^2 - P(A_$$

Information Theoretic Category Utility — i.e., Mutual Information (MI)

$$MI(C_k) = P(C_k) \sum_{i} \left[ H(A_i = V) - H(A_i = V | C_k) \right]$$
  
where  $H(A_i = V) = -\sum_{i} P(A_i = v_{ij}) \times ln(P(A_i = v_{ij}))$ 

Information Theoretic Partition Utility:

$$PU(C_1, \dots, C_m) = \sum_{k=1}^m \frac{MI(C_k)}{m}$$

Expected Information 0.35 0.30 0.25  $= v_{ij}^{2}$ 0.20 0.15 0.10 0.05 0.00 0.0 0.2 0.4 0.6 0.8 1.0  $H(A_{i} = V) = -\sum (p_{ij} \times ln(p_{ij})) = -\sum p_{ij} \times ln(1 - (1 - p_{ij}))$  $= v_{ij}))$  $= -\sum_{i} p_{ij} \left[ -(1 - p_{ij}) - \frac{1}{2}(1 - p_{ij})^2 - \frac{1}{3}(1 - p_{ij})^3 + \cdots \right]$  (by series expansion)  $= \sum p_{ij} \left[ (1 - p_{ij}) + \frac{1}{2} (1 - p_{ij})^2 + \frac{1}{3} (1 - p_{ij})^3 + \cdots \right]$  $\sum_{i} p_{ij} \times (1 - p_{ij}) = \sum_{i} p_{ij} - \sum_{i} p_{ij}^{2} = 1 - \sum_{i} p_{ij}^{2}$ 

The correct guesses of an attribute-value probability is the first order approximation of the entropy, so CU is an approximation of MI.







### Information Theory Variant of Category Utility **Expected information gained, rather than expected correct guesses**

- Information The Another advantage of using information theory is

$$PU(C_1, \dots, C_m) = \sum_{k=1}^m \frac{MI(C_k)}{m}$$

# M(c) that most distributions (e.g., categorical and normal) have closed-form definitions for Entropy.



#### **Attribute Smoothing** Ensures well defined behavior when estimating from a single example

#### Nominal Attribute Smoothing

$$H(A_i = V_i j) = -\sum_j p_{ij} \times ln(p_{ij}),$$

where  $p_{ij} = \frac{n_{ij} + \alpha}{n_i + \alpha \times d}$ ,  $\alpha$  is a smoothing parameter (a small positive value), and d is the number of possible values of attribute  $A_i$ .

#### **Continuous Attribute Smoothing**

$$H(A_i = V) = \frac{1}{2} ln \left[ 2\pi \left( \sigma_i^2 + \sigma_{acuity}^2 \right) \right] +$$

where  $\sigma_i$  is the sample standard deviation and  $\sigma_{acuity}$  is a smoothing parameter (a small positive value).













Output prediction about instance using terminal node probability table































Cobweb's final prediction is the combination of predictions from all expanded nodes, weighted by their collocation.



# Cobweb/4V: Incremental learning over image data



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## **Tensor Representation**

 Cobweb/4V uses a tensor representation, where each image is a tensor of pixel channel intensities.



 Building on Cobweb/3, it stores statistics in each node to efficiently compute and update means and variances online without needing to iterate over prior data.



### **Evaluation of Cobweb Changes on MNIST** More nodes expanded yields better performance

- We trained on the all the MNIST training data (60k images) and evaluated on the official test set (10k images).
- We varied the maximum number of nodes expanded during prediction from 50 - 500 in increments of 50.
- We found that in general, the more nodes expanded the better, but that performance levels off around 300 nodes expanded.

Test accuracy

0.94



### An Evaluation of Catastrophic Forgetting **Cobweb/4V Does Not Catastrophically Forget**

- We compared Cobweb/4V to the FC and FC-CNN on an classincremental prediction
- It sees all of a target digit up front (0 in example), then every successive split of training data lacks the digit
- We evaluate on all test items for the target digit
- We also compared with variants of FC and FC-CNN that use a replay buffer
- Our results show that all NN approaches forget catastrophically, but Cobweb/4V does not

1.0 0.9 8.0 7.0 0.7 0.6 0.5 0.4 0.4 est 0.3 0.2 0.1 0.0



### An Evaluation of Learning & Performance **Cobweb exhibits fast, stable learning**

0.9

0.8

0.6

0.2

0.1

Lest Accuracy 0.6 0.4 0.3

We compared Cobweb/4V to two neural network baselines on an incremental MNIST training task.

We presented each approach 10 images at a time and then evaluated it on entire test set.

Cobweb has fast, stable learning, performing much better in cases with fewer examples.

We also compared Cobweb to the NN baselines after training on entire MNIST training set (see table) and found it is comparable to FC.

- cobweb4v - fc - fc-cnnApproach



FC is a standard fully-connected neural network (with 1 hidden layer) and FC-CNN is extended with 2 convolutional and max pool layers.

	Final Accuracy (after all 60k trainin
Cobweb/4V	95.14%
FC	95.13%
FC-CNN	97.35%



# **Convolutional Cobweb**

MacLellan., C.J. & Thakur, H. (2021). Convolutional Cobweb: A Model of Incremental Learning from 2D Images. In *Proceedings of the Ninth Annual Conference on Advances in Cognitive Systems*. (pdf) (talk)

**Pixel Input** 

**Convolutional Filter** 



**Pixel Input** 

**Convolutional Filter** 



Pixel Input



**Convolutional Filter** 



**Pixel Input** 


**Convolutional Filter** 



Pixel Input

**Convolutional Filter** 



Pixel Input



**Convolutional Filter** 



**Pixel Input** 

 	 	-

Convolutional Filter


**Pixel Input** 


Convolutional Filter



**Pixel Input** 

**Convolutional Filter** 



**Pixel Input** 

**Convolutional Filter** 



**Pixel Input** 

**Convolutional Filter** 









Classification Layers





Classification Layers

Predict Label "3" for Image









#### Intermediate Representation

Filter9	

ribute	Mean/Std
cel 0,0	0.64/0.11
(el 0,1	0.22/0.05

#### Filter2

	Attribute	Mean/Std
	Pixel 0,0	0.23/0.10
	Pixel 0,1	0.85/0.04



Intermediate Representation

Filter9	Filter1	

ribute	Mean/Std
el 0,0	0.64/0.11
el 0,1	0.22/0.05
Fi	lter2

Mean/Std

0.23/0.10

0.85/0.04

...

Attribute

Pixel 0,0

Pixel 0,1

Mean/Std	
0.04/0.8	
0.10/0.02	



Intermediate Representation

Filter9	Filter1	Filter75

ribute	Mean/Std
el 0,0	0.64/0.11
el 0,1	0.22/0.05

Mean/Std

0.23/0.10

0.85/0.04

...

Attribute

Pixel 0,0

Pixel 0,1

...

Mean/Std
0.04/0.8
0.10/0.02



ter	Hie	rarchy	Filter9	Filter1	Filter75
i <b>bute</b> el 0,0 el 0,1	Mean/Std 0.64/0.11 0.22/0.05		Filter15		
 Fi	 Iter2				

Attribute	Mean/Std
Pixel 0,0	0.23/0.10
Pixel 0,1	0.85/0.04



er Hierarchy			Filter9	Filter1	Filter7
ute	Mean/Std				
0,0 0,1	0.64/0.11 0.22/0.05		Fliter 15	Filter9	
Fi	lter2	_			

Attribute	Mean/Std
Pixel 0,0	0.23/0.10
Pixel 0,1	0.85/0.04



er Hierarchy		Filter9	Filter1	Filter75	
<b>ute</b> 0,0	Mean/Std 0.64/0.11		Filter15	Filter9	Filter11
0,1	0.22/0.05				
Fi	lter2				

Attribute	Mean/Std
Pixel 0,0	0.23/0.10
Pixel 0,1	0.85/0.04

Mean/Std
0.04/0.8
0.10/0.02



er Hierarchy		Filter9	Filter1	Filter75
Mean/Std   0,0 0.64/0.11   0.1 0.22/0.05		Filter15	Filter9	Filter11
Filter2		Filter1		



	Attribute	Mean/Std
	Pixel 0,0	0.23/0.10
	Pixel 0,1	0.85/0.04
1		



Intermediate Representation

ter Hierarchy			Filter9	Filter1	Filter75
<b>bute</b> 1 0,0	Mean/Std 0.64/0.11 0.22/0.05		Filter15	Filter9	Filter11
 Fi	 Iter2	Attribute Mean/Std	Filter1	Filter75	

Mean/Std	
0.04/0.8	
0.10/0.02	

0.23/0.10

0.85/0.04

...

Pixel 0,0

Pixel 0,1

...



Intermediate Representation

er Hierarchy		Filter9	Filter1	Filter75
ute Mean/Std   0,0 0.64/0.11   0,1 0.22/0.05		Filter15	Filter9	Filter11
Filter2	ean/Std	Filter1	Filter75	Filter9

Mean/Std
0.04/0.8
0.10/0.02

Pixel 0,0

Pixel 0,1

...

0.23/0.10

0.85/0.04

...



Intermediate Representation

er Hierarchy			Filter9	Filter1	Filter7	
oute 0,0 0,1	Mean/Std 0.64/0.11 0.22/0.05			Filter15	Filter9	Filter1
Fi	 Iter2	Attribute Mean/Std		Filter1	Filter75	Filter9

Mean/Std	
0.04/0.8	
0.10/0.02	

Pixel 0,0

Pixel 0,1

...

0.23/0.10

0.85/0.04

...

D

Filter9	Filter1	Filter75
Filter15	Filter9	Filter11
Filter1	Filter75	Filter9

Filter9	Filter1	Filter75
Filter15	Filter9	Filter11
Filter1	Filter75	Filter9

Intermediate Representation

Filter9	Filter1	Filter75	
Filter15	Filter9	Filter11	
Filter1	Filter75	Filter9	



#### **Classification Hierarchy**

#### Concept0

	Attribute- Value	Probability	
100	6,6: Filter7	90/300	
C.18	6,7:Filter2	120/300	
	label:3	30/300	
		Conce	ot11
			Value
		1.0	6,6: Filter7
		10.1	6,7:Filter2
			label:3

Probability

20/180

0/180

...

0/180

Attribute- Value	Probability
6,6: Filter7	3/4
6,7:Filter2	4/4
label:3	4/4

Intermediate Representation

Filter9	Filter1	Filter75	
Filter15	Filter9	Filter11	
Filter1	Filter75	Filter9	



#### Final Concept: Concept15

Attribute	Value	Prob
0,0	Filter9	88
	Filter22	12
0,1	Filter1	95
	Filter7	5
• • •	•••	-
Label	"3"	10

Probability
3/4
4/4
4/4



Intermediate Representation

Filter9	Filter1	Filter75	
Filter15	Filter9	Filter11	
Filter1	Filter75	Filter9	



Probability
3/4
4/4
4/4

#### Final Concept: Concept15

Attribute	Value	Prob
0,0	Filter9	88
	Filter22	12
0,1	Filter1	95
	Filter7	5
		•
Label	"3"	10

Produces a Prediction of "3" for Image





#### Evaluation

- models we tried to unify:
  - A simple 1-layer CNN (no concept formation)

• As a preliminary test of our approach, we compared it to the two kinds of

A Cobweb model that maps pixels to features (no convolutional filters)

#### **Overall Performance**

- Each model was applied to the incremental MNIST prediction task
- Each model was presented with 300 images (30 images for each digit)
- Images were presented in a random order (same order across models)
- Our results average over 50 runs
- We find that our approach outperforms both approaches it was a based on

Note, CNN-Simple uses a replay buffer, given that we're training and testing incrementally.



bootstrapped 95% confidence intervals



### Learning Curves

- We also investigated the performance of the models over the course training
- We find that both Cobweb models seem to converge much more quickly than the CNN (likely because they're not using SGD)
- During training, our approach is only slightly better than Cobweb, but the performance is consistent over runs and across training





#### **Exploring Improvements to Convolutional Processing**





Image from: Yang, J., Jin, H., Tang, R., Han, X., Feng, Q., Jiang, H., ... & Hu, X. (2023). Harnessing the power of Ilms in practice: A survey on chatgpt and beyond. https://arxiv.org/abs/2304.13712



#### The Basis of Modern Language Models

- The influential Word2Vec system demonstrated that one can extract meaningful semantic information in the form of word embeddings by analyzing words and their surroundings context (Mikolov et al., 2013a,b)
- This early work has grown over the past decade into the today's large language models (e.g., BERT and GPT)



Figures from: https://daleonai.com/embeddings-explained

### **Contextual Extensions to Cobweb**

- Word2Vec introduced two approaches for analyzing words and their surrounding context:
  - Contextual Bag of Words (CBOW)
  - Skip-Gram
- We developed an extensions to Cobweb based on Word2Vec:
  - The Word System



Figure from Mikolov et al. (2013): https://arxiv.org/abs/1301.3781

#### The Cobweb Word System **Example Text**

I went to the house with the inspector and saw the crime scene.

#### **Example Text as Word Instance**

Attribute	Value	P(A=
Anchor	inspector	1/1
Context	the	3/8
	house	1/8
	with	1/8
	and	1/8
	saw	1/8
	crime	1/8

MacLellan, C.J., Matsakis, P., & Langley, P. (2022). Efficient Induction of Language Models via Probabilistic Concept Formation. In Proceedings of the Tenth Annual Conference on Advances in Cognitive Systems. (pdf) (talk)



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	and	1/8
	saw	1/8
	crime	1/8

MacLellan, C.J., Matsakis, P., & Langley, P. (2022). Efficient Induction of Language Models via Probabilistic Concept Formation. In Proceedings of the Tenth Annual Conference on Advances in Cognitive Systems. (pdf) (talk)



#### **Word Concept Containing Instance**

Attribute	Value	P(A=V)
Anchor	inspector	1/2
	police	1/2
Context	the	5/16
	house	1/16
	with	2/16
	and	1/16
	saw	1/16
	crime	1/16
	well	1/16
	enough	1/16
	theory	1/16
	if	1/16
	colonel	1/16



#### **Experimental Evaluation**

- - Iterate over the corpus
  - Mask each word

  - Evaluate the prediction
  - Update the model by training it with the true anchor word and its context

Zweig, G., & Burges, C. J. (2011). The Microsoft research sentence completion challenge. Microsoft Research Technical Report MSR-TR-2011-129.

• We are still in the process of developing our model and scaling it up to larger data sets, but we have some preliminary results comparing our approach to Word2Vec on 500 project Gutenberg books, which are part of the Microsoft Sentence Completion Challenge data.

• To evaluate each approach, we utilized an incremental prediction paradigm where we:

• Predict its value give the context words (10 words before and after the masked word)

#### **Experimental Evaluation** Cobweb Outperforms Word2Vec's CBOW Approach

- Our Cobweb model expands 100 nodes to make a prediction
- We use the CBOW variant of Word2Vec (the variant that can predict anchor given context)
- Our results suggest that Cobweb improves at predicting the anchor word much more quickly than Word2Vec
- Additionally, it achieves better predictive performance overall

100000-Instance Moving Average of P(word|context)



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#### An Analysis of Training Cost **Cobweb Scales Better than Word2Vec**

- Cobweb can efficiently update both its structure and its parameters
  - It's asymptotic complexity is better than Word2Vec, roughly O(nlogn) vs  $O(n^2)$
  - Cobweb can efficiently update its parameters without retraining on prior data
  - As demonstrated earlier, it is robust to catastrophic forgetting

MacLellan, C.J., Matsakis, P., & Langley, P. (2022). Efficient Induction of Language Models via Probabilistic Concept Formation. In Proceedings of the Tenth Annual Conference on Advances in Cognitive Systems.

Approach	Asymptotic Run Time
Word2Vec-CBOW	$O(N^2 \times E \times (W \times D + D \times log_2))$
Cobweb-Word	$O(N \times B^2 \times log_B(N) \times V)$
Cobweb with recent improvements:	$O(N \times B \times log_B(N) \times W)$
N is number E is number W is the size D is the dime V is the size	of words in corpus of epochs of the window ensionality of the embedding of the vocabulary
B is the bran	ching factor of the cobweb tre

C is the number of concepts in the tree




### An Analysis of Training Cost **Cobweb Scales Better than Word2Vec**

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Practically, we just finished training the largest Cobweb model ever built with over over 14 million instances (~500 books)!



### **Next Steps** Learning Intermediate Representations



MacLellan, C.J., Matsakis, P., & Langley, P. (2022). Efficient Induction of Language Models via Probabilistic Concept Formation. In Proceedings of the Tenth Annual Conference on Advances in Cognitive Systems. (pdf) (talk)

#### **The Path Model** (uses multiple concepts to represent each word)



## **General Discussion**

- We have been developing foundational Cobweb building blocks, so that we can demonstrate efficient, scalable, and high-performance capabilities.
- Cobweb is well-suited for incremental learning across a wide range of tasks and domains.
- We believe it has the potential to be competitive with deep learning, while retaining many of its benefits (e.g., data efficiency and robustness to forgetting)





# Thank you!

#### New and Future Extensions to Cobweb

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